



## **Conflict of Interest Certification**

### **Objective:**

The intent of this disclosure is NOT to prevent an aspirant supplier from making an application, but rather to provide the decision making authorities with information on which TPNODL can make its own judgments and ensure that dealing with such parties is done fairly and transparently by ensuring that people / parties in conflict of interest are not involved in the transactions / decision making process.

While requesting a registration, a supplier MUST disclose any actual or potential conflict of interest by giving the details of the person/s within the supplier's company (whether a director or an employee) who may be in actual or potential conflict of interest.

**Conflict of Interest:** An actual or potential conflict of interest with suppliers may arise where, directly or indirectly:

- a) A proprietor or partner or director or employee (who is party to a transaction) of the supplier is also a member of family or relative of a TPNODL employee.
- b) A proprietor or partner or employee (who is party to a transaction) of the supplier is also a director of TPNODL or a person of influence within TPNODL.
- c) A proprietor or partner or director of the company is also a proprietor or partner or director in another company already registered with TPNODL and competing for similar products and / or services.
- d) A company has subsidiary or associate companies already registered with Tata Power and competing for similar product and /or services.

For detailed explanation on when an actual or potential conflict of interest may arise, please refer to Clause 20 of Tata Code of Conduct available on the Tata Power website

([Tata-Code-of-Conduct.pdf](http://Tata-Code-of-Conduct.pdf) ([tatapower.com](http://tatapower.com)))

Format for Declaration: Suppliers willing to register with TPNODL need to print the enclosed which should be printed on the Letter Head of their company and be signed by proprietor / partner / executive directors / person authorized by the company for giving such declaration affixing his name, designation below the signature along with seal of the company.



To  
Chief – Contracts & MM  
TPNODL

### **Declaration on Conflict of Interest**

This is to certify that we , M/s \_\_\_\_\_ are having the following entities / persons in actual or potential conflict of interest while dealing the TPNODL within the spirit of Clause 20 of Tata Code of Conduct.

<b>Name &amp; Designation of the entity / person in conflict of interest</b>	<b>Name of TPNODL Person to whom related to</b>	<b>Nature of Relationship / conflict</b>

*(In case there is no conflict to be declared, please clearly state as NIL in the first row and strike out the balance lines)*

This is to further certify that We, M/s \_\_\_\_\_

- a) Are not dealing with TPNODL under any other name or through any other subsidiary / associate companies other than the list as disclosed above.
- b) None of our other directors / partners / proprietors is dealing with TPNODL under any other company name
- c) None of our other directors / partners / proprietors / employees holding a position of responsibility and / or authorized to transact with TPNODL has any significant financial interest or other relationship i.e. (father, mother, brother, sister or any other close family relationship) with any TPNODL employee or directors of TPNODL or directors of TPNODL Group companies.

We further declare that as and when there is any change to the above certification, we shall intimate to TPNODL about such change in the status. We also declare that we have read



the understood the Tata Code of Conduct- latest version hosted on the Tata Power Website ([Tata-Code-of-Conduct.pdf \(tatapower.com\)](#)) and shall abide by all the provisions of the same and will bring any concern regarding this to the notice of your Chief Ethics Counsellor on the email ID: [ssengupta@tpnodl.com](mailto:ssengupta@tpnodl.com)

This is to certify that the above said information is true to the best of my knowledge and that I have the requisite authority to sign above declaration in my capacity as \_\_\_\_\_(ID No \_\_\_\_\_)

Regards

Name:

Designation

Stamp and seal